

Dennis Dudnik

Notice of Default Order

DATE: August 17, 2001 RESPONDENT: Dennis Dudnik of Brooklyn, New York ORDER: Notice of Default Order issued to respondent Dennis Dudnik of Brooklyn, New York for allegedly committing unethical business practices in making unsuitable stock recommendations. Dudnik was a broker/dealer agent with Barron Chase Securities, Inc. The order censures Dudnik and imposes a \$2,500 fine.

Notice of Intent to Issue Permanent Cease and Desist Order

DATE: December 28, 2001 RESPONDENT: Dennis Dudnik of Brooklyn, New York ORDER: Notice of Intent Invoke Administrative Sanctions issued for allegedly violating an Order of the Commissioner. The prior Order censured Dudnik for unsuitable recommendations and imposed a fine of \$2,500. The Notice alleges that respondent Dudnik has not made payment of the fine in compliance with the Order.

Bar from Association with a Broker-Dealer or Investment Adviser Registered in Kansas

DATE: March 20, 2002 RESPONDENT: Dennis Dudnik of Brooklyn, New York ALLEGATION(s): Failure to comply with an Order of the Commissioner ORDER: The respondent is barred from Association with a Broker-Dealer or Investment Adviser registered in Kansas issued for violating an Order of the Commissioner. The prior Order censured Dudnik for unsuitable recommendations and imposed a fine of \$2,500. The Commissioner finds that respondent Dudnik has not made payment of the fine in compliance with the previous Order.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.